

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

Atty Dock

MILDA 0001.03

Serial No.

~~681045,945~~

Applicants

David S. MILLER

Filing Date

May 7, 1998

Group

To Be Assigned

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date
SMD	AA 4,554,418	11/19/85	TOY	179	2DP	05/16/83
	AB 4,713,761	12/15/87	SHARPE et al	364	406	07/18/85
	AC 4,727,243	02/23/88	SAVAR	235	379	10/24/84
	AD 4,890,228	12/26/89	LONGFIELD	364	408	01/21/88
	AE 4,961,158	10/02/90	SUSSMAN	364	709.04	11/22/88
	AF 5,117,355	05/26/92	McCARTHY	364	405	04/18/90
	AG 5,138,549	08/11/92	BERN	364	408	04/28/89
	AH 5,193,057	03/09/93	LONGFIELD	364	408	11/20/90
	AD 5,202,826	04/13/93	McCARTHY	364	405	11/26/91
	AE 5,287,268	02/15/94	McCARTHY	364	405	11/16/92
	AF 5,521,815	05/28/96	ROSE, Jr.	364	409	08/09/93
	AG 5,546,303	08/13/96	HELBLING	364	401	03/21/94
✓	AH 5,555,497	09/10/96	HELBLING	364	401	04/28/94

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-class	Trans-lation
AL						
AM						
AN						
AO						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

SMD AR J. McTague, "Auditing the IRS," Barrons, 12/23/96, pp. 1-9.

Examiner

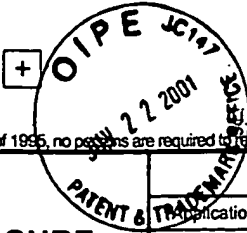
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1.	of	3
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Complete if Known

Publication Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not Yet Assigned
Attorney Docket Number	31921-169499

U.S. PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

Complete if Known

Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not Yet Assigned
Attorney Docket Number	31921-169499

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SMD	AF	TOM NEGRINO, "Quicken Deluxe 6", MacWorld Reviews, February 1996, pp. 1-3, www.macworld.com/1996/02/reviews/1851.html	
	AG	"Microsoft Online-Banking Strategy Gains Wide Industry Support", May 8, 1996, pp. 1-2, www.microsoft.com/PressPass/press/1996/may96/hmbankpr.asp	
	AH	"Intuit Will Create Comprehensive Framework For Financial Data Exchange Using The Internet", September 16, 1996, pp. 1-4, www.intuit.com/corporate/press_releases/091696b.html	
	AI	"OpenExchange", September 16, 1996, pp. 1-11, www.intuit.com/corporate/press_releases/091696_white_paper.html	
	AJ	"Microsoft Delivers Open Internet Banking Solutions Today", September 30, 1996, pp. 1-4, www.microsoft.com/PressPass/press/1996/sept96/INETBKPR.asp	
	AK	"Microsoft Announces Availability of Active Statement Technology For Microsoft Money 97", November 18, 1996, pp.1-3, www.microsoft.com/PressPass/press/1996/Nov96/money97.asp	
	AL	"Intuit, Microsoft and Checkfree Create Open Financial Exchange", January 16, 1997, pp. 1-6, www.intuit.com/corporate/press_releases/011697.html	
	AM	"Intuit Makes Tax Preparation Software Available Free On The Internet", January 27, 1997, pp.1-2, www.intuit.com/corporate/press_releases/012797.html	
	AN	"Confusing Tax Lingo Delays Americans From Filing", February 3, 1997, pp. 1-2, www.intuit.com/corporate/press_releases/020397.html	
	AO	"Open Financial Exchange Winning Broad Support From Financial Services And Technology Companies", February 19, 1997, pp.1-3, www.intuit.com/corporate/press_releases/021997.html	
✓	AP	"Open Financial Exchange - About OFX", 1 page, www.ofx.net/ofx/ab_main.asp	

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S. Diaz

Date Considered

8/20/04

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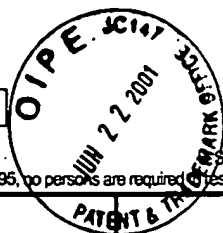
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not Yet Assigned
Attorney Docket Number	31921-169499

Sheet 3 of 3

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SMD	AQ ¹	"Linking Quicken to TurboTax" (with two pages of Table of Contents), pp. 1-3, www.cma.zdnet.com/book/quicken/ch22/ch22.htm	
	AR	"QIF Definition", pp. 1-6, www.respmech.com/mym2qifw/qif_new.htm	
	AS ¹	"Quicken – Answers", pp. 1-2, www.intuit.com/support/quicken/faqs/win3/5176.html	
	AT ¹	"Quicken Deluxe 98", pp. 1-4, www.mcronline.com/Quicken98.htm	
	AU ¹	"Quicken Deluxe 98: taxes", pp.1-2, www.cnet.com.sg/Briefs/Comparisons/Money/ss02g.html	
	AV	"Quicken QIF Alert", pp.1-4, www.fi.inuit.com/technical/qif_fi_alert.cfm	
	AW	"Shortcutz", Intuit Quicken® 99", pp. 1-2, www.educationalmultimedia.com/shortcutz/spreadsheets/quicken99.cfm?1	
	AX		
	AY		
	AZ		
	BA		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 5

Complete if Known

Application Number 09/803,667
Filing Date March 12, 2001
First Named Inventor David S. MILLER
Group Art Unit 2163
Examiner Name Not yet assigned
Attorney Docket Number 31921-169499

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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SMD	A1	PAM THOMPSON, "Quicken Deluxe", October 23, 1997, pp. 1-4, www.macobserver.com/reviews/quicken98.shtml	
	A2	TurboTax "What are the limitations of Quicken Taxlink?", May 14, 2001, pp. 1-2, www.intuit.com/support/turbotax/faqs/ty99/win/1169.html	
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	A4	Quicken, "How do I import QIF files into Quicken that I downloaded from my Financial Institution", May 14, 2001, pp. 1-2, www.intuit.com/support/quicken/faqs/win3/5176.html	
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	A6	Quicken, "Exporting and Importing Quicken Data", 2000, pp. 1-2, www.intuit.com/support/quicken/faqs/docs/w_export-import.html	
	A7	"Using Community PC Banking and Quicken", May 14, 2001, pp. 1-2, www.communitybankssb.net/quicken.htm	
	A8	"PocketMoney to QIF Converter-Home", v0.1-28 July 2000, pp. 1-2, http://pm2qif.sourceforge.net/	
	A9	CRANE (Crane Federal Credit Union's), "Common Cents", April 2001, pp. 1-2, www.cranecu.org	
	A10	Information Concepts, Inc., "Fixed Price Software Development", May 17, 2001, p. 1, www.infoconcepts.com/v2/home.html	
✓	A11	"QIF Converter For Online Transactions", May 17, 2001, p. 1, www.etfcu.org/files/Readme.txt	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 5

Complete if Known

Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not yet assigned
Attorney Docket Number	31921-169499

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume(s), number(s), publisher, city and/or country where published. ²
SMJ	A12	Chapter 6, "Downloading and Using QIF Files", pp. 53-56
	A13	VOICE, "General VOICE Meeting from 8/06/97", p. 1, www.os2voice.org/logs/V080697.LOG.html
	A14	INTUIT, "Intuit Introduces Online Investment Tracking In Quicken 98", MOUNTAIN VIEW, Calif. September 15, 1997, pp. 1-2, www.intuit.com/corporate/press_releases/091597e.html
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	A16	H&R BLOCK, "Archived Press Releases", April 26, 2001, pp. 1-6, www.hrblock.com/press_relations/archives_pr.jsp
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	A18	MICROSOFT BUSINESS, "An Open Standard for Tax Data Interchange Using TaxML", January 22, 2001, p. 1, www.microsoft.com/BUSINESS/government/resources/taxml.asp
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	A21	CYNTHIA NORMAN, Muir Software, Inc., "The Open Financial Exchange", pp. 1-6, www.ofx.net
✓	A22	INTUIT, "Intuit Partners With American Century Investments To Offer Online Investment Tracking Through Quicken", MOUNTAIN VIEW, Calif., June 22, 1998, pp. 1-2, www.intuit.com/corporate/press_release/062398.html

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Date
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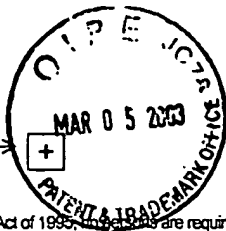
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/803,667
		Filing Date	March 12, 2001
		First Named Inventor	David S. MILLER
		Group Art Unit	2163
		Examiner Name	Not yet assigned
		Attorney Docket Number	31921-1242
Sheet	3	of	5

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SMD	A23	MICHAEL L. SCHNEIDER, "Banking on a New Internet Standard", February 24, 1998, pp. 1-2, www.zdnet.com/products/content/pcmg/1704/277635.html	
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✓	A33	OPEN FINANCIAL EXCHANGE, "Information Concepts Offers Implementation and Migration Toolkit", HERDON, VA., November 8, 1999, pp. 1-2, www.ofx.net/ofx/pressget.asp?id=41	

Examiner Signature	<i>A. 1923</i>	Date Considered	8/20/04
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		First Named Inventor	David S. MILLER
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		Attorney Docket Number	31
Sheet 4 of 5			

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SJD	A34	OPEN FINANCIAL EXCHANGE, "BITS, Publishers of Open Financial Exchange and GOLD Team Announce Timetable for the Publication of Converged Specification", WASHINGTON, April 7, 1998, pp. 1-5, www.ofx.net/ofx/pressget.asp?id=17	
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	A38	DANBURY AREA COMPUTER SOCIETY, INC., Volume 9, Issue 2, February 1998, pp.1-16, www.dacs.org	
	A39	THERESA W. CAREY, "The best personal tax packages", May 14, 2001, pp. 1-3, coverage.cnet.com/Content/Reviews/Compare/Tax/index.html	
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	A41	"Instructions for Downloading & Printing Statements", May 14, 2001, pp. 1-3, gateway.fundsexpress.com/fibvw/instruction_downloads.htm	
	A42	"Feature Guide", May 14, 2001, pp. 1-2, manuals.sybase.com/onlinebooks/group-fs/fsg0110e/sfsfg/@Generic_BookText.../32	
	A43	GnuCash USER MANUAL, "Quicken QIF File Import", May 14, 2001, pp. 1-2, www.gnucash.org/docs/C/xacc-qif.html	
✓	A44	OPEN FINANCIAL EXCHANGE, "Solution Provider Profiles", May 14, 2001, pp. 1-34, www.ofx.net/ofx/fi_prof.asp	

Examiner Signature	S. Diaz	Date Considered	8/20/04
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Sheet	5	of	5
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SMD	A45	THE BUSINESS JOURNAL, "New tax-prep programs appear to attract users", January 31, 1997, pp. 1-2, portland.bcentral.com/portland/stories/1997/02/03/focus4.html	
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	A52	MacFixIt, "November 1999-a Archive Late-Breakers", November 1999, pp. 1-9, www.macfixit.com/archives/november.99.a.shtml	
	A53	BILL GATES, "Remarks by Bill Gates", Bellevue, WA, March 26, 1997, pp. 1-17, www.microsoft.com/BillGates/Speeches/industry&tech/marble.asp	
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	A55	TALK CITY, "Wingspan Bank Presents Bill Wallace, Executive Vice President and Chief Information Officer WingspanBank.com", November 16, 1999, pp. 1-2, www.talkcity.com/transcripts/WingspanBank/11-16-1999.1-1.html	
	A56	TALK CITY, "Wingspan Bank Presents Bill Wallace, Executive Vice President and Chief Information Officer WingspanBank.com", November 16, 1999, pp. 1-2, www.talkcity.com/transcripts/WingspanBank/11-16-1999.1-2.html	

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J. A. Z.

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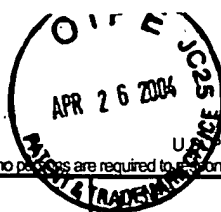
¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 4

Application Number	09/803,667
Filing Date	March 21, 2001
First Named Inventor	David S. MILLER
Group Art Unit	3623
Examiner Name	Susanna M. Meinecke Diaz
Attorney Docket Number	31921-169499

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
SMD	A1	4,970,655		Winn	11-13-1990	
	A2	5,505,461		Bell et al.	04-09-1996	
	A3	5,644,724		Cretzler	07-01-1997	
	A4	5,644,742		Shen et al.	07-01-1997	
	A5	5,737,592		Nguyen	04-07-1998	
	A6	5,799,283		Francisco et al.	08-25-1998	
	A7	5,819,249		Dohanich et al.	10-06-1998	
	A8	5,875,435		Brown et al.	02-23-1999	
	A9	5,875,433		Francisco et al.	02-23-1999	
	A10	6,183,140	B1	Singer et al.	02-06-2001	
	A11	6,202,052	B1	Miller	3-13-2001	
	A12	6,289,319	B1	Lockwood	09-11-2001	
	A13	6,311,170	B1	Embrey	10-30-2001	
	A14	6,347,304	B1	Taricani, Jr.	02-12-2001	
	A15	6,697,787	B1	Miller	2-24-2004	

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FOREIGN PATENT DOCUMENTS

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		Application Number	09/803,667
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	March 21, 2001
		First Named Inventor	David S. MILLER
		Group Art Unit	3623
		Examiner Name	Susanna M. Meinecke Diaz
		Attorney Docket Number	31921-169499
Sheet	2	of	4

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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SMD	C1	This Week's Lead Story #1: Highwaymaster, TTSI to Offer Automated Fuel Tax Reporting, <i>Inside ITS</i> , September 11, 1995.	
	C2	Qualcomm Press Release, March 12, 1997, QUALCOMM Introduces OnTrax State Mileage Reporting Software.	
	C3	Randall, et al., "First Looks", Massachusetts CPA Review, Vol. 70, No. 1, pp. 30-32, Winter 1996	
	C4	Brozovsky, et al., "Tax Deposits Go Electronic", CPA Journal, Vol. 65, No. 12, pp. 53-54, December 1995	
	C5	"Tax Prep Software: Our Third Annual Face-Off", Accounting Technology, Vol. 10, No. 10, pp. 20-63, November 1994	
	C6	"SALES/USE Tax System", Taxware International, Inc., September 1999, http://www.taxware.com/ZProducts/salesuse/sutaxsys.html	
	C7	Filing Govt. Statements Electronically, Harper, Robert M., Jr.; Hoffman, Michael R, Journal of Accounting & EDP, v2, n2, pp 52-56, Summer 1986	
	C8	Computers and the Tax Professional. Throncherry, Mary Beth; Malley, John C.; Wallace, William D., National Public Accountant, v32, n5, pp. 20-24, May 1987	
	C9	Make April 15 less taxing—TurboTax finds deductions even accountants miss., Middleon, Timothy, Computer Life, March 1, 1995, v2, n3, pp. 159-162	
U	C10	CA-Infopoint Combined Interest Reporting. Computer Associates International, Inc., DR 01/90	

Examiner Signature	<i>A. Diaz</i>	Date Considered	8/20/04
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		Application Number	09/803,667
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	March 21, 2001
		First Named Inventor	David S. MILLER
		Group Art Unit	3623
		Examiner Name	Susanna M. Meinecke Diaz
		Attorney Docket Number	31921-169499
Sheet	3	of	4

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SMD	D1	Screenshots from the electronic publication, TaxCut 1994 Filing Edition, distributed via floppy.	
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	D10	Intuit Press Release of January 30, 1996, entitled "Intuit Announces 15 More Financial Institutions To Deliver Online Banking".	
	U	D11	Intuit Press Release of December 17, 1996, entitled "More Small Businesses Find Success As They Go Online".

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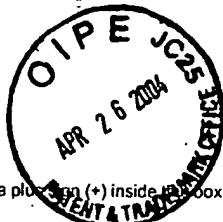
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Substitute for form 1449A/PTO		Complete if Known	
		Application Number	09/803,667
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	March 21, 2001
		First Named Inventor	David S. MILLER
		Group Art Unit	3623
		Examiner Name	Susanna M. Meinecke Diaz
		Attorney Docket Number	31921-169499
Sheet	4	of	4

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
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SMD ↓	E1	Microsoft Press Release of November 18, 1996, entitled "Microsoft Announces Availability of Active Statement Technology For Microsoft Money 97".	
	E2	"Taxing Matters: Updating Technology at the Internal Revenue Service", Wasson, J.; Vol. 7, Issue 2, <u>EDI Forum</u> (1994) p.59-63 (ISSN: 1048-3047).	
	E3	"State Tax Reporting Via the Electronic Highway", Lyon, J.; Vol. 6, Issue 3, <u>EDI Forum</u> , (1993) p. 30-35 (ISSN: 1048-3047).	
	E4	"This Just In Getting Back to Business: Tax Prep Software Doesn't Add Up To 1040. Expect More For the Money from 1065, 1120, and 1120S Business Tax Software This Season.", Cohn, M.; <u>Accounting Technology</u> , (January 1996) p. 29-39.	
	E5	Kiplinger TaxCut Quick Start Guide; Filing Edition-Tax Year 1996.	

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Application Number				09/803,667	
Filing Date				March 12, 2001	
First Named Inventor				David S. MILLER	
Group Art Unit				3623	
Examiner Name				MEINECKE DIAZ, Susanna M.	
Attorney Docket Number				31921-169499	
Sheet	1	of	1		

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SMD	A1	Beamer, Scott. "A Marriage of Convenience." MacUser, vol.3, no. 3, page 102(4), March 1987.	
SMD	A2	Meadows, Laura Lou. "Faster Refunds with Electronic Filing: Computerizing Your Relationship with the IRS." PC Magazine, col. 9, no. 4, page 388(2), February 27, 1990.	
SMD	A3	Anonymous. "Electronic Tax Payment Through TAXLINK Discussed in IRS Procedure." Standard Federal Tax Reports, vol. 80, no. 25, page 4, June 9, 1993.	

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